

## INVESTIGATOR DISCLOSURE OF SIGNIFICANT FINANCIAL INTERESTS

PI/PD	
Proposal Title	
Sponsor/Program	
OGCS #	

Investigators must disclose any significant financial interest (and those of his/her spouse and dependent children; or domestic partner) that may present an actual or potential conflict of interest in relationship with a sponsored project. Such disclosure must occur prior to the submission of a sponsored project proposal, or, if a significant financial interest develops subsequent to proposal submission or issuance of award, as soon as such significant financial interests come into existence.

**The following questions are intended to elicit whether such conflicts may exist with the proposed project.**

1. Does any investigator (or member/s of your immediate family, i.e., spouse or dependent children as determined by the Internal Revenue Service; or domestic partner) have a **Significant Financial Interest**<sup>1</sup> that:

- A. would reasonably appear to be affected by the research for which funding is sought, or
- B. that is in entities whose financial interests would reasonably appear to be affected by the proposed teaching, research, or public service activities?

No \_\_\_\_\_ Yes \_\_\_\_\_

2. If yes, have the details of each significant financial relationship been previously disclosed in writing?

No \_\_\_\_\_ Yes \_\_\_\_\_

Date of Submission of Disclosure(s) \_\_\_\_\_

**Each participating Investigator must disclose "Significant Financial Interests" by listing each such arrangement and providing a written explanation to the Vice President for Research. Disclosures must be submitted in a sealed envelope marked *Confidential*. (Note: all key personnel must complete the disclosure and certification for proposals submitted to the National Institutes of Health.)**

### CERTIFICATION BY INVESTIGATOR

In submitting this form, I certify that the above information is true to the best of my knowledge and that I have read and understand University policies governing conflict of interest.

Signature: \_\_\_\_\_ Date \_\_\_\_\_

Investigator Name \_\_\_\_\_

<sup>1</sup> **Significant Financial Interest:** means anything of monetary value (at least 5% of equity or at least \$10,000 worth of ownership interests), including, but not limited to, salary or other payments for services (e.g., consulting fees or honoraria); equity interests (e.g., stocks, stock options or other ownership interests); and intellectual property rights (e.g., patents, copyrights and royalties from such rights), including those of the investigator's spouse and dependents as determined according to the definition of the Internal Revenue Service; or domestic partner. See also Interim COI Policy Statement.